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# 03 Numbers, Sets, Functions, and Relations

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# Number Systems

- The main number systems:
  - ▶  $\mathbf{N}$ , the natural numbers.
  - ▶  $\mathbf{Z}$ , the integers.
  - ▶  $\mathbf{Q}$ , the rational numbers.
  - ▶  $\mathbf{R}$ , the real numbers.
  - ▶  $\mathbf{C}$ , the complex numbers.
- Other important number systems:
  - ▶  $\mathbf{Z}_n$ , the integers modulo  $n$ .
  - ▶  $\mathbf{O}$ , the ordinal numbers.
  - ▶  $\mathbf{H}$ , the hyperreal numbers.
  - ▶  $\mathbf{S}$ , the surreal numbers.

# Foundational Mathematical Objects

- The three most common kinds of foundational objects:
  1. Sets.
  2. Functions.
  3. Relations.
- Each kind of object can be used to represent the other two kinds of objects.

# Sets

- A [set](#) is a collection of objects.
- Some very large collections of objects cannot be sets.
  - ▶ For example, consider the the [Russell set](#), the set of all sets that do not contain themselves.
  - ▶ A collection that is too large to be a set is called a [proper class](#).
- Styles of set theories:
  - ▶ Naive set theory.
  - ▶ Having a universal set.
  - ▶ Having no universal set (e.g., [ZF set theory](#)).
  - ▶ Having a universal class (e.g., [NBG set theory](#)).

# Set Concepts

- **Basic properties:** membership, subset, cardinality.
- **Basic operations:**
  - ▶ Union, intersection, complement, difference, symmetric-difference.
  - ▶ Cartesian product (product), disjoint union (sum).
  - ▶ Sum set, power set.
- **Special sets:** the emptyset, universal sets, functions, relations, ordinals, cardinals.
- Functions and relations can be represented as special kinds of sets (e.g., as sets of **tuples**).

# (Unary) Functions

- **Definition 1:** A **function** is a rule  $f : I \rightarrow O$  that associates members of  $I$  (inputs) with members of  $O$  (outputs).
  - ▶ Every input is associated with at most one output.
  - ▶ Some inputs may not be associated with an output.  
Example:  $f : \mathbf{Z} \rightarrow \mathbf{Q}$  where  $x \mapsto 1/x$ .
- **Definition 2:** A **function** is a set  $f \subseteq I \times O$  such that if  $(x, y), (x, y') \in f$ , then  $y = y'$ .
- Each function  $f$  has a **domain**  $D \subseteq I$  and a **range**  $R \subseteq O$ .
  - ▶  $f$  is **total** if  $D = I$  and **partial** if  $D \subset I$ .
- A set or relation can be represented as a special kind of function (e.g., as a **predicate**, a **characteristic function**, or an **indicator**).

# Lambda Notation

- **Lambda notation** is a precise, convenient way to specify functions.

- If  $B$  is an expression of type  $\beta$ ,

$$\lambda x : \alpha . B$$

denotes a function  $f : \alpha \rightarrow \beta$  such that  $f(a) = B[x \mapsto a]$ .

- **Example:** Let  $f = \lambda x : \mathbf{R} . x * x$ .

- ▶  $f(2) = (\lambda x : \mathbf{R} . x * x)(2) = 2 * 2$ .
- ▶  $f$  denotes the squaring function.

- Lambda notation is used in many languages to express ideas about functions.

- **Examples:**

- ▶ **Lambda Calculus** (a model of computability).
- ▶ **Simple Type Theory** (a higher-order predicate logic).
- ▶ **Lisp** (a functional programming language).

# $n$ -Ary Functions

- **Definition 1:** For  $n \geq 0$ , an  $n$ -ary function is a rule  $f : I_1, \dots, I_n \rightarrow O$  that associates members of  $I_1, \dots, I_n$  (inputs) with members of  $O$  (outputs).
  - ▶ Every list of inputs is associated with at most one output.
  - ▶ Some lists of inputs may not be associated with an output.
- **Definition 2:** For  $n \geq 0$ , an  $n$ -ary function is a set  $f \subseteq I_1 \times \dots \times I_n \times O$  such that if  $(x_1, \dots, x_n, y), (x_1, \dots, x_n, y') \in f$ , then  $y = y'$ .
- Each function  $f$  has a **domain**  $D \subseteq I_1 \times \dots \times I_n$  and a **range**  $R \subseteq O$ .



# Representing $n$ -Ary Functions as Unary Functions

There are two ways of representing a  $n$ -ary function as a unary function:

1. **As a function of tuples:**  $f : I_1, \dots, I_n \rightarrow O$  is represented as

$$f' : I_1 \times \dots \times I_n \rightarrow O$$

where

$$f(x_1, \dots, x_n) = f'((x_1, \dots, x_n)).$$

2. **As a curried function:**  $f : I_1, \dots, I_n \rightarrow O$  is represented as

$$f'' : I_1 \rightarrow (I_2 \rightarrow (\dots (I_n \rightarrow O) \dots))$$

where

$$f(x_1, \dots, x_n) = f''(x_1) \dots (x_n).$$

# Example

- Let  $f = \lambda x, y : \mathbf{R} . x^2 + y^2$ .
- $f' = \lambda p : \mathbf{R} \times \mathbf{R} . [\text{fst}(p)]^2 + [\text{snd}(p)]^2$ .  
$$\begin{aligned} f'((a, b)) &= (\lambda p : \mathbf{R} \times \mathbf{R} . [\text{fst}(p)]^2 + [\text{snd}(p)]^2)((a, b)) \\ &= [\text{fst}((a, b))]^2 + [\text{snd}((a, b))]^2 \\ &= a^2 + b^2. \end{aligned}$$
- $f'' = \lambda x : \mathbf{R} . \lambda y : \mathbf{R} . x^2 + y^2$ .  
$$\begin{aligned} f''(a)(b) &= (\lambda x : \mathbf{R} . \lambda y : \mathbf{R} . x^2 + y^2)(a)(b) \\ &= (\lambda y : \mathbf{R} . a^2 + y^2)(b) \\ &= a^2 + b^2. \end{aligned}$$

# Function Concepts

- Basic properties:
  - ▶ Arity (0-ary, unary,  $n$ -ary with  $n \geq 2$ , multiary).
  - ▶ Total, injective, surjective, bijective.
  - ▶ Image, inverse image.
- Basic operations: composition, restriction, inverse.
- Special functions: the empty function, identity functions, choice functions.

# Cardinality

- Two sets  $A$  and  $B$  are **equipollent**, written  $A \approx B$ , if there is a bijection  $f : A \rightarrow B$  between them.
- $A \preceq B$  means  $A \approx B'$  for some  $B' \subseteq B$ .
- A set is **infinite** if it is equipollent with a proper subset of itself.
- The **cardinality** of a set  $A$  is the cardinal number  $c$  such that  $A$  and  $c$  are equipollent.
- **Theorem.**
  1.  $\mathbf{N} \approx \mathbf{Q}$ .
  2. (Cantor)  $\mathbf{N} \not\approx \mathbf{R}$ .
- **Theorem (Schröder-Bernstein).** If  $A \preceq B$  and  $B \preceq A$ , then  $A \approx B$ .

# Relations

- For  $n \geq 1$ , an  $n$ -ary relation is a set  $R \subseteq A_1 \times \cdots \times A_n$  ( $n \geq 1$ ).
  - ▶ Any set can be considered as a unary relation.
  - ▶ Any nonunary relation can be considered as a binary relation.
- Functions are considered as special relations.
  - ▶ An  $n$ -ary function  $f : A_1, \dots, A_n \rightarrow B$  is identified with the corresponding  $(n + 1)$ -ary relation  $R_f \subseteq A_1 \times \cdots \times A_n \times B$  called the **graph** of the function.
- An  $n$ -ary relation can be represented by an  $n$ -ary predicate.

# Relation Concepts

- Basic binary relation properties:
  - ▶ Reflexive, symmetric, transitive.
- Basic binary relation operations:
  - ▶ Domain, range.
  - ▶ Composition, inverse.
- Special relations: the empty relation, universal relations, equivalence relations.
- Ways of representing relations:
  - ▶ Using zero-one matrices.
  - ▶ Using directed graphs.

# Closures of Relations

- Reflexive closure.
- Symmetric closure.
- Transitive closure.
  - ▶ Equals the connectivity relation.

# Equivalence Relations

- A binary relation on a set is an **equivalence relation** if it is reflexive, symmetric, and transitive.
- Given an equivalence relation  $R$  on a set  $S$ , the **equivalence class** of  $a \in S$  is the set

$$\{b \in S \mid a R b\}.$$

- **Theorem.**
  1. The equivalence classes of an equivalence relation on a set  $S$  form a partition of  $S$ .
  2. Given a partition of a set  $S$ , there is an equivalence relation on  $S$  whose equivalence classes are the members of the partition.



# Algebras

- A **mathematical structure** is a structured collection of sets, functions, and relations.
- An **algebra** is a mathematical structure consisting of:
  1. A set of elements called the **domain**.
  2. A set of distinguished elements, functions, and relations called the **signature** that impose a structure on the domain of elements.
- The signature usually determines a **language** for describing and making assertions about the elements of the domain.
- Algebras are often described by tuples of the form

$$(D, e_1, \dots, e_k, f_1, \dots, f_m, r_1, \dots, r_n).$$

# Examples of Algebras

- Number systems (e.g, natural, integer, rational, real, complex, ordinal, hyperreal, surreal).
- Algebraic structures (e.g, monoids, groups, rings, fields).
- Orders (e.g., pre, partial, total, well).
- Lattices and boolean algebras.
- Graphs and trees.
- Abstract data types (ADTs) used in Computer Science (e.g, ADTs for strings, lists, streams, arrays, records, stacks, queues).