

CS 2SC3 and SE 2S03 Fall 2008

05 Dynamic Data Structures

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What are Dynamic Data Structures?

- A **static data structure** is a data structure whose size is fixed during run time.
 - ▶ Overhead cost is low.
 - ▶ Expansion cost is high.
- A **dynamic data structure** is a data structure whose size changes during run time.
 - ▶ Overhead cost is high.
 - ▶ Expansion cost is low.

Program Memory

A machine code program has four kinds of memory:

1. Processor registers.
2. Static memory.
3. The stack (also called the call stack or execution stack).
4. The heap.

Persistence

- The **persistence** of an entity (e.g., a variable) is the period of time the entity is available to a running program.
- **Examples:**
 - ▶ The persistence of a running function procedure begins when it is called and ends when it returns a value.
 - ▶ The persistence of a variable declared in a procedure normally has the same persistence as the procedure.
 - ▶ The persistence of a global variable is from when it is first declared to the termination of the program.

Static Memory Allocation

- Static memory allocation is done at compile time.
 - ▶ Performed by the compiler.
 - ▶ The size of static memory does not change during run time.
- Static memory includes:
 - ▶ Program code.
 - ▶ Data that needs to be available for the lifetime of the program.
 - ▶ Global constants.
- There is no run time allocation overhead.
- Data structures held in static memory persist for the lifetime of the program.
- Static memory is not deallocated during run time.

Automatic Memory Allocation

- Automatic memory allocation is done at run time whenever a procedure is called.
 - ▶ A frame is pushed on the stack when the procedure is called.
 - ▶ The frame is popped from the stack when the procedure finishes.
- Stack memory includes:
 - ▶ Return address.
 - ▶ Local variables.
- Allocation overhead is modest.
- Data structures held in stack memory persist only for the lifetime of the procedure call.
- Stack memory is automatically deallocated when a procedure call finishes.

Dynamic Memory Allocation

- **Dynamic memory allocation** is done at run time when a data structure is created in the heap.
- The heap memory includes:
 - ▶ Dynamic data structures.
 - ▶ Data structures that need to persist longer than procedure calls.
- Allocation overhead is high.
- Data structures held in heap memory persist until the memory is deallocated.
- In OCaml, heap memory is **implicitly deallocated** by **garbage collection**.
- In C, heap memory is **explicitly deallocated**.

Heap Memory Allocation and Deallocation in C

- Heap memory is allocated using the operator `malloc`:

```
type * ptr = malloc(sizeof(type));
```

- Heap memory is deallocated using the operator `free`:

```
free(ptr);
```

- Problems:

1. Unneeded heap memory is not freed by the programmer or the pointer to heap memory is lost (**memory leak**).
2. Heap memory is accessed after it is freed.

Linked Lists

- A **linked list** is a dynamic data structure consisting of a sequence of linked nodes that holds a list of elements.
- Each **node** is a record of type t containing various data fields and one or two references of type t :
 1. A field named **next** that points to the “next” node.
 2. A field named **previous** that points to the “previous” node.

Note: The type t is self-referential.

- A link list has four basic forms:
 1. Singly linked (having one of the next and previous fields).
 2. Doubly linked (having both of the next and previous fields).
 3. Singly linked in a circle.
 4. Doubly linked in a circle.

Linked List Operators

- **Constructor**: Starts the construction of a new linked list.
- **Node selector**: A node is accessed sequentially by following the links until the node is reached.
- **Node mutators**:
 - ▶ **Node removal**: A node is removed from the linked list.
 - ▶ **Node insertion**. A new node is inserted into the linked list.

Comparison of Linked Lists with Arrays

- Linked lists are more space efficient than arrays.
 - ▶ Arrays fill up; linked lists do not.
 - ▶ Arrays may have empty cells; linked lists do not.
 - ▶ Resizing an array is costly; resizing a linked list is not.
- Access to an array element is faster than to linked list elements.
 - ▶ Arrays support **random access**.
 - ▶ Linked lists support only **sequential access**.
- Linked lists have higher space and time overhead than arrays.
 - ▶ Cells requires more space to hold node references.
 - ▶ Removing and inserting elements takes more time.

Binary Trees

- A **binary tree** is a dynamic data structure consisting of a tree of linked nodes that holds a tree of elements.
- Each **node** is a record of type t containing various data fields and two references of type t :
 1. A field named **left** that points to the “left” child node.
 2. A field named **right** that points to the “right” child node.
- Data can be stored at each node or at only the leaf nodes.

Sum Types in OCaml

- A **sum type** represents a disjoint union of values.
 - ▶ Sum types are also called **union types** and **variant types**.
 - ▶ **Enumerated types** are a special case of sum types.
- Sum type declaration:

```
type name =  
    Name1 [of t1]  
  | Name2 [of t2]  
    ⋮  
  | Namen [of tn] ;;
```

- The Name_{*i*} are **constructors** that:
 1. **Construct** values of the sum type.
 2. **Tag** the values of the sum type to distinguish the components of the corresponding disjoint union.
 3. **Select** values of the sum type via pattern matching.

Summary of Types in OCaml

- Types of Immutable Values

- ▶ Basic types: `unit`, `bool`, `int`, `float`, `char`.
- ▶ Function types: $t_1 \rightarrow t_2$.
- ▶ Product types: $t_1 * \dots * t_n$.
- ▶ List types: $t \text{ list}$.
- ▶ Sum types: $\text{Name}_1 [\text{of } t_1] \mid \dots \mid \text{Name}_n [\text{of } t_n]$.

- Types of Mutable Values

- ▶ Reference types: $t \text{ ref}$.
- ▶ Record types:
 $\{[\text{mutable}] \text{ name}_1 : t_1; \dots; [\text{mutable}] \text{ name}_n : t_n\}$.
- ▶ Array types: $t \text{ array}$.
- ▶ String type: `string`.

Type Declarations in OCaml

- (Recursive) type declarations:

```
type name = type_expression ;;
```

- Mutually recursive type declarations:

```
type name1 = type_expression1  
and name2 = type_expression2  
:  
and namen = type_expressionn ;;
```

- Parameterized type declarations:

```
type 'a name = type_expression ;;  
type ('a1, ..., 'an) name = type_expression ;;
```

Pattern Matching in OCaml (1/3)

- **Pattern matching** provides an easy way to access components of complex data structures.
- A **pattern** is an expression with zero or more free (unbound) variables.
- A pattern must be **linear** in the sense that each free variable cannot occur more than once in the pattern.
- Let p be a pattern containing the free variables x_1, \dots, x_n .
- A value v **matches** p if there is a set of bindings for x_1, \dots, x_n such the value of p under these bindings equals v . Let us call this set of bindings the **match bindings**.
- The **wildcard pattern** `_` matches every possible value.

Pattern Matching in OCaml (2/3)

- The following syntax is used to do pattern matching:

```
match expr with  
|  $p_1$  -> expr1  
  ⋮  
|  $p_n$  -> exprn
```

where the p_1, \dots, p_n are patterns of the same type as `expr`. Note: the first `|` is optional.

- A pattern matching expression is evaluated as follows:
 1. `expr` is evaluated and then sequentially compared with the patterns p_1, \dots, p_n until a match is found.
 2. If p_i is the first pattern that matches the value of `expr`, then the value of `expri` under the corresponding match bindings is returned.
 3. If no match is found, the `Match_failure` exception is raised.

Pattern Matching in OCaml (3/3)

- Pattern matching can be used to define a function by cases.
- The form

`function p1 -> e1 | ... | pn -> en`

is equivalent to

`function e -> match e with p1 -> e1 | ... | pn -> en`

- Patterns can be **named**: `p as name`
- Patterns can have conditions: `p when cond`
- Value declarations can use pattern matching:

`let (x,y,z) = (1,1.,true) ;;`